IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

3 4 Attorney Docket No. AUS920010294US1 5 6 IN RE APPLICATION OF: S 7 S Examiner: Hector A. Agdeppa 8 Joseph Herbert McIntyre S 9 S 09/826,663 Art Unit: 2642 10 Serial Number: 11 S S 12 April 5, 2001 Filed: S 13 For: Selective Call Forwarding S 14 15 S 16 17 18 19 APPEAL BRIEF 20 21 22 Commissioner for Patents 23 P.O. Box 1450 24 Alexandria, Virginia 22313-1450 25 26 27 Sir: 28 This Brief is submitted in triplicate in support of the Appeal in 29 the above-identified application. 30 31 32 **CERTIFICATE OF MAILING** 37 CFR 1.8(a) 33 34 I hereby certify that this correspondence is being deposited with the United States Postal Service as First-Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on the date below: 35 36 JAN WARY 7, 2005 37 Signature 38 39 APPEAL BRIEF 40 **PAGE 1 OF 16** 41 42 Serial Number 09/826,663

Attorney Docket No. AUS920010294US1

43

44	
45	TABLE OF CONTENTS
46	
47	REAL PARTY IN INTEREST 4
48	
49	RELATED APPEALS AND INTERFERENCES 4
50	
51	STATUS OF CLAIMS 4
52	
53	STATUS OF AMENDMENTS 4
54	
55	SUMMARY OF THE INVENTION 5
56	
57	ISSUES 6
58	
59	GROUPING OF THE CLAIMS 7
60	
61	ARGUMENT 7
62 63 64 65 66 67 68	I. With regard to the double patenting rejection of claims 1-35 based upon a co-pending application, it is respectfully submitted that since the co-pending application (though not the inventions contained therein) has been abandoned, this rejection is now moot and should be withdrawn on the record.
69 70 71 72 73 74 75	II. With regard to the rejection of claims 1-35 under 35 USC 103(a) over Tatchell in view of Contractor, it is respectfully submitted that there is no basis, disclosure, teaching or even suggestion in the hypothetical combination of references sufficient to render the present invention (as presented in currently pending claims 1-35) obvious and that further, the hypothetical combination of Tatchell and Contractor is not suggested by either reference and therefore is an improper

APPEAL BRIEF PAGE 2 OF 20

77 78	combination for use in rejecting applicant's claims.
79 80	III. With regard to the improper consideration of mobile phone features available at the time the Final Office Action was
81 82	mailed but which were not shown to be available at the time the present invention was filed, it is submitted that even the
83 84	consideration of these features improperly biased the Examiner's consideration on the issue of obviousness and that therefore the
85 86 87	obviousness rejection of claims 1-35 based upon an evaluation of currently available mobile phone features not shown to have been known at the time the present application was filed should be
88 89	withdrawn.
90 91	CONCLUSION
92	
93	APPENDIX (Pending Claims) 14-20
94	

APPEAL BRIEF PAGE 3 OF 20

95	REAL PARTY IN INTEREST
96	
97	The present application is assigned to International Business
98	Machines Corporation, the real party in interest.
99	
100	
101	RELATED APPEALS AND INTERFERENCES
102	
103	No related appeal is presently pending.
104	
105	
106	STATUS OF THE CLAIMS
107	
108	Claims 1-35 are pending and stand finally rejected by the
109	Examiner as noted in the Final Office Action mailed August 11,
110	2004.
111	
112	STATUS OF AMENDMENTS
113	
114	Prior to the Final Office Action (mailed 8/11/04), there was only
115	one Office Action mailed 3/25/04 and one Amendment mailed
116	5/28/04. The Second and Final Office Action cited a new reference
117	and rejected all 35 claims under the judicially created doctrine
118	of double patenting over the claims of co-pending application No.
119	09/826,663 and also under 35 USC 103 over a combination of two
120	references, Tatchell and Contractor. The last entered amendment
121	was submitted 5/28/04 which amended the claims to the text shown
122	in the Appendix.

APPEAL BRIEF PAGE 4 OF 20

L23	SUMMARY OF THE INVENTION
L24	
125	The present application discloses a method and implementing
126	system in which a user of a telephone call forwarding service is
127	enabled to designate selected callers and/or phone numbers which
128	the user wishes to have forwarded to a specified number which is
129	input by the user. In an exemplary embodiment, a user menu is
130	communicated to the user and the user is enabled to input a new
131	phone number to which the user wishes to have selected calls
132	forwarded. The user is further enabled to input the selected
133	caller names and/or phone numbers which are to be forwarded to
134	the new number, and all other calls are handled in accordance
135	with a predetermined process, such as, for example, voice mail.
136	(See "Summary of Invention", page 3 of the specification and,
137	inter alia, Fig. 6, 601-605 and Fig.7, 703, 705, 721-727.)
138	
139	The above methodology is set forth in pending claim 1, which
140	recites:
141	
142	"1. A method for enabling a forwarding of only selected incoming telephone calls from a first
143	number to a second number, said method comprising:
144	
145	identifying selected phone numbers for incoming telephone calls to said first number which a user
146	wishes to have forwarded from said first number to said second number;
147	
148	displaying said selected phone numbers on a user device;
149	anablina innut of said assend number to gold upon device:
150 151	enabling input of said second number to said user device;
TOT	

APPEAL BRIEF PAGE 5 OF 20

152	displaying said second number on said user device; and
153	
154	enabling said user to provide an input to accept said second number and said selected phone
155	numbers whereby only incoming telephone calls to said first number from said identified selected
156	phone numbers are transferred from said first number to said second number."
157 158	
159	<u>ISSUES</u>
160	
161	1. Is the double patenting rejection of claims 1-35 over the co-
162	pending application 09/826,663 still valid?
163	
164	2. Is the Examiner's rejection of claims 1-35 under 35 USC 103(a)
165	as being unpatentable over Tatchell et al (U. S. Patent
166	6,160,877, hereinafter referred to as "Tatchell") in further view
167	of Contractor et al (US 2002/0085687, hereinafter referred to as
168	"Contractor") well founded, and is the hypothetical combination
169	of Tatchell and Contractor suggested by either reference??
170	
171	3. Is it appropriate to consider features available on mobile
172	phones on the date of the Final Office Action, i.e. August 11,
173	2004, but not shown to have been available at the time the
174	present application was filed more than three years earlier on
175	April 5, 2001, and did even the consideration of those features
176	improperly influence the Examiner's understanding of what was
177	obvious at the time the present application was filed?
178	
179	

APPEAL BRIEF PAGE 6 OF 20

180	GROUPING OF THE CLAIMS
181	
182	For purposes of this Appeal, claims 1-35 stand or fall together.
183	
184	
185	ARGUMENT
186	
187	I. With regard to the rejection of claims $1-35$ based on double
188	patenting over the co-pending application 09/826,663, it is noted
189	that the co-pending application (but not the invention contained
190	therein) has been expressly abandoned and the double patenting
191	rejection is no longer valid and should be withdrawn.
192	
193	II. With regard to the rejection of claims 1-35 under 35 USC
194	103(a) over Tatchell in view of Contractor, it is respectfully
195	submitted that there is no basis, disclosure, teaching or even
196	suggestion in Tatchell or Contractor, or, therefore necessarily,
197	in a combination of both, which would be sufficient to render the
198	present invention (as presented in currently pending claims 1-35)
199	obvious. It is further submitted that even the hypothetical
200	combination of Tatchell and Contractor not only does not teach or
201	suggest the total combination of elements and relationships as
202	presently set forth in the appended claims, but in fact, both
203	Tatchell and Contractor actually teach away from the present
204	invention as currently defined by the claims, by requiring
205	audible interaction with mobile phones and not a manual text
206	input methodology and display screen for inputting and displaying
207	selected calls for forwarding as well as inputting and displaying
208	a number to which the selected calls are to be forwarded as is

APPEAL BRIEF PAGE 7 OF 20

	The state of the s
210 211	Tatchell discloses a method of screening and prioritizing an
212	incoming call which is enabled by a subscriber using voice
213	commands. Tatchell does not disclose, teach or even suggest the
214	use of a visual display on a user device to enable entering and
215	confirming the numbers of incoming calls to be forwarded and the
216	forwarding number to which the incoming calls are sent. The
217	present invention overcomes the inaccuracies of audible input by
218	enabling a user to provide manual and visual call forwarding
219	input to a user device and to complete the input with a positive
220	key entry after visually confirming the input on a display
221	associated with the user device. The use of a user device having
222	a display capability would be directly against the teachings of
223	Tatchell so it cannot be said that it is obvious from Tatchell to
224	use a display device for entering call forwarding information. If
225	anything, Tatchell teaches away from the present invention by

done with the present invention.

209

226

227

228 229

230

231 232

233

234

235

236

APPEAL BRIEF **PAGE 8 OF 20**

objectives of Tatchell and/or Contractor. It is noted that the

disclosing only a voice-activated system and not mentioning even

a possible function in his system for a display device. Indeed,

it is submitted that the insertion of a display function in the

Tatchell system would render the Tatchell system inoperable for its intended purpose. This is true of any audible input system

including the Contractor reference as well. It is the stated

distracting the user from other activities such as driving a

objective of such audible systems to enable input without

motor vehicle. The present invention requires the use of a

display screen and therefore is directly opposed to the

237	current claims include displaying selected phone numbers to be
238	forwarded on a user device, enabling input of a second or
239	forwarding number to the user device to which the selected phone
240	numbers are to be forwarded, displaying the second or forwarding
241	number on the user device and enabling the user to provide an
242	input to execute based upon a visual confirmation of the accuracy
243	of both the user number input of a selected incoming call number
244	to be forwarded, and the user number input indicating the number
245	to which the selected call is to be forwarded. Tatchell, at best,
246	teaches, in column 20 beginning on line 21, that a user can have
247	all calls forwarded to a given number but this is clearly
248	distinguishable from the present invention in which only selected
249	incoming calls as designated and input by a user are forwarded to
250	designated and input forwarding numbers input by the user.
251	
252	Contractor teaches a system for intercepting an incoming call and
253	announcing information concerning the calling party before a user
254	a user accepts or rejects the incoming call. Contractor is
255	similar to Tatchell to the extent that neither Tatchell nor
256	Contractor (nor the combination of both) disclose the methodology
257	disclosed and claimed by the applicant, viz. displaying selected
258	phone numbers to be forwarded on a user device, enabling input of
259	a second or forwarding number to the user device to which the
260	selected phone numbers are to be forwarded, displaying the second
261	or forwarding number on the user device and enabling the user to
262	provide an input to execute the processing of the input
263	"received" and "forwarded to" numbers. Thus, even a hypothetical

APPEAL BRIEF PAGE 9 OF 20

combination of Tatchell and Contractor falls short of rendering

264

266	combination, discloses the above noted claim features. Thus it is
267	submitted that claims 1-35 as presented in the Appendix are
268	allowable under 35 USC 103(a) over Tatchell and/or Contractor, or
269	even both in combination.
270	
271	It is further submitted that there is no suggestion in either
272	Tatchell or Contractor for the hypothetical combination of
273	Tatchell and Contractor and that such a combination would destroy
274	each system for its respective intended purpose. Tatchell
275	discloses a system in which a database is referred to in an
276	immediate routing of incoming calls while the Contractor system
277	suspends incoming calls while caller information is retrieved and
278	the user is given the option whether to accept or reject the
279	incoming call on a real time basis. There is no stated reason or
280	suggestion in either reference that the two apparently diverging
281	methodologies could be combined for any reason or of why it would
282	be beneficial to combine the two references even if the two
283	technologies were compatible for combination. It is therefore
284	submitted that the hypothetical combination of Tatchell and
285	Contractor is an improper combination of references and cannot be
286	used as a basis to reject applicant's claims.
287	
288	III. It is further submitted that applicant's claims were
289	rejected based upon an improper consideration of current state-
290	of-the-art features as applied to applicant's filing which was
291	filed on 4/5/2001. In that regard, it is noted that the Examiner,
292	on page 4 of the Final Office Action mailed 8/11/2004, stated

the present invention obvious since neither, or even both in

265

APPEAL BRIEF PAGE 10 OF 20

293	that "I personally use an AT&T mobile telephone that allows
294	certain features to be applied to certain telephone numbers
295	wherein all of the interaction is done via visual displays and
296	entering inputs via the mobile phone keypad". From that
297	observation, the Examiner concludes that "Therefore, using
298	audible methods and visual methods are old and well known".
299	This observation of the Examiner's own mobile phone features
300	sometime before the $8/11/2004$ mailing date of the Final Action is
301	apparently the sole basis (since no specific reference is cited
302	for this teaching) for the conclusion that audible methods of
303	input are an improvement upon visual manual input methods. This
304	is clearly not the case and it is submitted that even a
305	consideration of currently available mobile phone features (as is
306	established by the quoted text from the Office Action) is
307	improper and sufficient to unfairly bias and cloud the Examiner's
308	consideration of the obviousness issue. Thus, it is submitted
309	that even the consideration of current state-of-the-art features
310	of the mobile phone of the Examiner inappropriately biased the
311	Examiner's opinion regarding the non-obviousness of the present
312	invention and that therefore the obviousness rejection of claims
313	1-35 should be withdrawn, and that claims 1-35 are allowable
314	under 5 USC 103(a) over Tatchell in view of Contractor.
315	
316	
317	
318	
319	
320	

APPEAL BRIEF PAGE 11 OF 20

321	CONCLUSION
322	
323	For the reasons stated above, applicant urges the Board to
324	consider that even the hypothetical combination of Tatchell and
325	Contractor does not even suggest much less render obvious, a
326	method or system including identifying selected phone numbers for
327	incoming telephone calls to a first number which a user wishes to
328	have forwarded from the first number to a second number,
329	displaying the selected phone numbers on a user device, enabling
330	input of a second number to the user device, displaying the
331	second number on the user device, and enabling the user to
332	provide an input to accept the second number and the selected
333	phone numbers whereby only incoming telephone calls to the first
334	number from the identified selected phone numbers are transferred
335	from the first number to the second number, as set forth in
336	claims 1-35 of the Appendix.
337	
338	Consequently, applicant urges that the rejection of claims 1-35
339	under 35 USC 103(a) as being unpatentable over Tatchell in view
340	of Contractor, is not well-founded and should be reversed.
341	
342	Please charge IBM Corporation Deposit Account No. 09-0447 in the
343	amount of \$500.00 for submission of a Brief in Support of Appeal.
344	No additional fee or extension of time is believed to be
345	required; however, in the event an additional fee or extension of
346	time is required, please charge the fee, as well as any other fee
347	
348	

APPEAL BRIEF PAGE 12 OF 20

349	
350	necessary to further the prosecution of this application, to the
351	above-identified deposit account.
352	
353	
354	Respectfully submitted,
355	
356	Ca Carrier To
357	
358	1/0000 V. WILOUM
359	Robert V. Wilder (Tel:512-246-8555)
360	Registration No. 26,352
361	Attorney at Law
362	4235 Kingsburg Drive
363	Round Rock, Texas 78681
364	
365	ATTORNEY FOR APPLICANT

APPEAL BRIEF PAGE 13 OF 20

364 365	APPENDIX
366	1. A method for enabling a forwarding of only selected incoming
367	telephone calls from a first number to a second number, said
368	method comprising:
369	
370	identifying selected phone numbers for incoming telephone calls
371	to said first number which a user wishes to have forwarded from
372	said first number to said second number;
373	
374	displaying said selected phone numbers on a user device;
375	
376	enabling input of said second number to said user device;
377	
378	displaying said second number on said user device; and
379	
380	enabling said user to provide an input to accept said second
381	number and said selected phone numbers whereby only incoming
382	telephone calls to said first number from said identified
383	selected phone numbers are transferred from said first number to
384	said second number.
385	
386	2. The method as set forth in claim 1 wherein incoming phone
387	calls from phone numbers other than said selected phone numbers
388	are processed by a default process.
389	
390	3. The method as set forth in claim 2 wherein said default
391	process is a voice mail system.
392	

APPEAL BRIEF PAGE 14 OF 20

393	4. The method as set forth in claim I wherein said transferring
394	is accomplished by a server device.
395	
396	5. The method as set forth in claim 4 wherein said identifying is
397	accomplished by a user remote from said server device.
398	
399	6. The method as set forth in claim 5 wherein said identifying is
400	accomplished by a user inputting said selected phone numbers into
401	said user device and sending said selected phone numbers from
402	said user device to said server device.
403	
404	7. The method as set forth in claim 5 wherein said identifying is
405	accomplished by a user relative to a selection menu displayed on
406	said user device.
407	
408	8. The method as set forth in claim 7 wherein said selection menu
409	is stored in said user device.
410	
411	9. The method as set forth in claim 7 wherein said selection menu
412	is stored in said server device, said server device being
413	selectively operable to effect a presentation of said selection
414	menu on said user device.
415	
416	10. The method as set forth in claim 1 wherein said selected
417	phone numbers are selected from a file containing phone numbers
418	and names associated with said phone numbers.
419	
420	

APPEAL BRIEF PAGE 15 OF 20

421	11.	The	method	as	set	forth	in	claim	10	wherein	said	selected
-----	-----	-----	--------	----	-----	-------	----	-------	----	---------	------	----------

- 422 phone numbers are determined by designating only selected ones of
- 423 said phone numbers in said file.

424

- 425 12. The method as set forth in claim 5 wherein said user device
- 426 is a wireless device.

427

- 428 13. The method as set forth in claim 5 wherein said user device
- 429 includes input means for enabling said user to input said
- 430 selected phone numbers.

431

- 432 14. The method as set forth in claim 5 wherein said user device
- 433 is a cell phone.

434

- 435 15. The method as set forth in claim 5 wherein said user device
- 436 is a two-way pager device.

437

- 438 16. The method as set forth in claim 5 wherein said user device
- 439 is a computer device.

440

- 441 17. The method as set forth in claim 5 wherein said user device
- 442 is a laptop computer.

443

- 444 18. A storage medium including machine readable coded indicia,
- 445 said storage medium being selectively coupled through a reading
- 446 device to processing circuitry within a computing system, said
- 447 computing system being arranged to receive and re-direct incoming
- 448 telephone calls, said reading device being selectively operable

APPEAL BRIEF PAGE 16 OF 20

449	to read said machine readable coded indicia and provide program
450	signals representative thereof, said program signals being
451	effective to enable a forwarding of only selected ones of said
452	incoming telephone calls from a first number to a second number,
453	said program signals being further effective to accomplish the
454	steps of:
455	
456	identifying selected phone numbers for incoming telephone calls
457	to said first number which a user wishes to have forwarded from
458	said first number to said second number;
459	
460	displaying said selected phone numbers on a user device;
461	
462	enabling input of said second number to said user device;
463	
464	displaying said second number on said user device; and
465	
466	enabling said user to provide an input to accept said second
467	number and said selected phone numbers whereby only incoming
468	telephone calls to said first number from said identified
469	selected phone numbers are transferred from said first number to
470	said second number.
471	
472	19. The medium as set forth in claim 18 wherein incoming phone
473	calls from phone numbers other than said selected phone numbers
474	are processed by a default process.
475	
476	

APPEAL BRIEF PAGE 17 OF 20

477	20. The medium as set forth in claim 19 wherein said default
478	process is a voice mail system.
479	
480	21. The medium as set forth in claim 18 wherein said transferring
481	is accomplished by a server device.
482	
483	22. The medium as set forth in claim 21 wherein said identifying
484	is accomplished by a user remote from said server device.
485	
486	23. The medium as set forth in claim 22 wherein said identifying
487	is accomplished by a user inputting said selected phone numbers
488	into a user device and sending said selected phone numbers from
489	said user device to said server device.
490	
491	24. The medium as set forth in claim 22 wherein said identifying
492	is accomplished by a user relative to a selection menu displayed
493	on said user device.
494	
495	25. The medium as set forth in claim 24 wherein said selection
496	menu is stored in said user device.
497	
498	26. The medium as set forth in claim 24 wherein said selection
499	menu is stored in said server device, said server device being
500	selectively operable to effect a presentation of said selection
501	menu on said user device.
502	
503	
504	

APPEAL BRIEF PAGE 18 OF 20

507	and names associated with said phone numbers.
508	
509	28. The medium as set forth in claim 27 wherein said selected
510	phone numbers are determined by designating only selected ones of
511	said phone numbers in said file.
512	
513	29. A processing system including a system bus, and a processor,
514	a memory system, and a network interface, all coupled to said
515	system bus, said processing system being arranged to receive and
516	re-direct incoming telephone calls, said processing system being
517	operable to enable a forwarding of only selected ones of said
518	incoming telephone calls from a first number to a second number
519	by enabling a user of a user device to identify, on a display
520	associated with said user device, selected phone numbers for
521	incoming telephone calls which said user wishes to have forwarded
522	from said first number to said second number,
523	said processing system being operable for displaying said
524	selected phone numbers on said user device and enabling input of
525	said second number to said user device, said processing system
526	being further operable for displaying said second number on said
527	display of said user device and enabling said user to provide an
528	input to accept said second number and said selected phone
529	numbers whereby only incoming telephone calls to said first
530	number from said identified selected phone numbers are
531	transferred from said first number to said second number.

27. The medium as set forth in claim 18 wherein said selected

phone numbers are selected from a file containing phone numbers

505

506

532

APPEAL BRIEF PAGE 19 OF 20

533	30. The processing system as set forth in claim 29 wherein said
534	user device is a wireless device.
535	
536	31. The processing system as set forth in claim 29 wherein said
537	user device includes input means for enabling said user to input
538	said selected phone numbers.
539	
540	32. The processing system as set forth in claim 29 wherein said
541	user device is a cell phone.
542	
543	33. The processing system as set forth in claim 29 wherein said
544	user device is a two-way pager device.
545	
546	34. The processing system as set forth in claim 29 wherein said
547	user device is a computer device.
548	
549	35. The processing system as set forth in claim 29 wherein said

user device is a laptop computer.

550

APPEAL BRIEF PAGE 20 OF 20